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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peterffy et al.

Confirm. No.: 9758

Application Serial No. 10/697,851

Group Art Unit: 2164


Filed: October 30, 2003

Examiner: To be Assigned

Title: PRICE IMPROVEMENT PROCESSOR FOR ELECTRONIC TRADING OF  
FINANCIAL INSTRUMENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: September 1, 2005.

  
\_\_\_\_\_  
Stephen Y. Chow

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BASED ON INTERNATIONAL SEARCH REPORT IN A COUNTERPART PCT  
APPLICATION**

Sir:

In compliance with the requirements of 37 CFR 1.97(e)(1), submitted herewith on Form PTO-1449 is a list of publications identified in an International Search Report in a counterpart PCT application. The International Search Report (listed as Ref. AE and enclosed) was mailed on June 6, 2005, and this Supplemental Information Disclosure Statement is being submitted within three months of that date; therefore, no fee is believed to be due.


However, if the payment of a fee is deemed necessary, the Commissioner is authorized to charge any deficiencies or credit any overpayment to our **Deposit Account No. 03-2410, Ref. No. 30894-101.**

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

Dated: September 1, 2005

By: \_\_\_\_\_

  
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Serial No.  
10/697.851

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Applicant**  
Peteffy et al.

**Filing Date**  
10/29/03

**Group Art Unit**  
2164

[illegible][illegible]

(Including Author, Title, Date, Pertinent Pages, etc.)

AE

International Search Report completed on 13 April 2005 and mailed on 6 June 2005.

**EXAMINER**

/Bijendra K. Shrestha/

DATE CONSIDERED  
08/16/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.